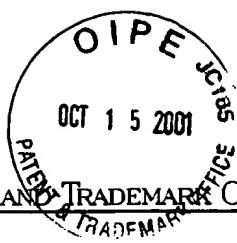




UNITED STATES PATENT AND TRADEMARK OFFICE



EXPRESS MAIL NO. EL 834 335 901 US

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/829,529	04/10/2001	Roger Tambay	32887-256195

CONFIRMATION NO. 8455

FORMALITIES LETTER



OC000000006077399

Charles W. Calkins
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27282

Date Mailed: 05/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/10/2001 BABRAHA1 00000009-09829529

FILED UNDER 37 CFR 1.53(b)

01 FC:117

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

10/18/2001 BABRAHA1 00000009 09829529

02 FC:105

130.00 OP

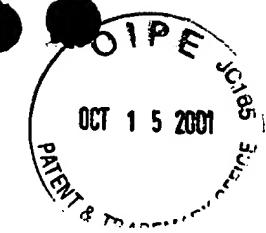
O ee

EXPRESS MAIL NO. EL834335901US

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Serial No. 09/829,529
Express Mail Cert. No. EL 834 335 901 US
Page 1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tambay et al.
Serial No.: 09/829,529
Filing Date: April 10, 2001
For: **Systems And Methods For Facilitating Transactions
In A Commodity Marketplace**
Examiner: To Be Assigned
Art Unit: 2161

Assistant Commissioner of Patents
Box Missing Parts
Washington, DC 20231

Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

Enclosed herewith for filing in the above-identified application are the following documents:

- 1) Request for Extension of Time Pursuant To 37 C.F.R. §1.136(a);
- 2) Notice to File Missing Parts of Nonprovisional Application (Part 2);
- 3) Executed Declaration and Power of Attorney of Bowen;
- 4) Executed Declaration and Power of Attorney of Tambay
- 5) Executed Declaration and Power of Attorney of Lettich and Caldwell; and
- 6) Substitute Formal Drawings in compliance with 37 C.F.R. 1.84; and
- 7) Checks in the amount of \$130.00 & \$920.00

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Date: 10/15/01

Respectfully submitted,

Charles W. Calkins
Reg. No. 31,814

KILPATRICK STOCKTON LLP
1001 West Fourth Street
Winston-Salem, North Carolina 27101-2400
(336) 607-7300